

## $k$ - DENTING POINTS AND $k$ - SMOOTHNESS OF BANACH SPACES

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ABSTRACT. In this paper, the concepts of  $k$ -smoothness,  $k$ -very smoothness and  $k$ -strongly smoothness of Banach spaces are dealt with together briefly by introducing three types  $k$ -denting point regarding different topology of conjugate spaces of Banach spaces. In addition, the characterization of first type  $w^*$ - $k$  denting point is described by using the slice of closed unit ball of conjugate spaces.

### 1. Introduction

Throughout this paper,  $(X, \|\cdot\|)$  will denote a real Banach space and  $X^*$  will denote its conjugate space. Set

$$U(X) = \{x : x \in X, \|x\| \leq 1\}, \quad U(x_0, \delta) = \{x : x \in X, \|x - x_0\| \leq \delta\},$$

$$S(X) = \{x : x \in X, \|x\| = 1\}, \quad S_x = \{f : f \in S(X^*), f(x) = 1 = \|x\|\}.$$

For  $f \in X^*$  and  $\delta > 0$ , set  $F(f, \delta)$  will denote the slice  $\{x \in U(X) : f(x) > 1 - \delta\}$ . The symbol  $x_n \xrightarrow{w^*} x$  (resp.  $x_n \xrightarrow{w} x$ ,  $x_n \rightarrow x$ ) will denote the sequence  $\{x_n\}$  of  $X$  which  $w^*$  (resp.  $w$ , strong) convergence to  $x$  in  $X$ .  $\sigma(X, w)$  will denote the weak topology of  $X$  and the open (resp. compact, closed) set regarding weak topology  $\sigma(X, w)$  is said

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to be  $w$  open (resp.  $w$  compact,  $w$  closed) set. The symbol  $\sigma(X^*, w^*)$  will denote the weak\* topology of  $X^*$  and the open (resp. compact, closed) set regarding weak\* topology  $\sigma(X^*, w^*)$  is said to be  $w^*$  open (resp.  $w^*$  compact,  $w^*$  closed) set. The neighborhood regarding weak (weak\*) topology is said to be  $w$  ( $w^*$ ) neighborhood. The accumulation point regarding weak\* topology is said to be  $w^*$  accumulation point. The symbol  $\text{co}M$  will denote the convex hull of set  $M$  and the symbol  $\overline{H}^w$  (resp.  $\overline{H}^{w^*}$ ) will denote the  $w$  (resp.  $w^*$ ) closure of set  $H$ , where  $H \subset X^*$ .

**DEFINITION 1.1.** A point  $x^* \in S(X^*)$  is said to be first (resp. second) type weak\* -  $k$  (in short  $w^* - k$ ) denting point of  $U(X^*)$  if there is a  $x \in S(X)$  with  $x^*(x) = 1$ ,  $\dim S_x \leq k$  such that for every norm (resp.  $w^*$ ) open set  $V_{S_x}$  which includes set  $S_x$ , we have  $S_x \cap \overline{\text{co}}^{w^*}(U(X^*) \setminus V_{S_x}) = \emptyset$ .

**DEFINITION 1.2.** A point  $x^* \in S(X^*)$  is said to be weak- $k$  (in short  $w - k$ ) denting point of  $U(X^*)$  if there is a  $x \in S(X)$  with  $x^*(x) = 1$ ,  $\dim S_x \leq k$  such that for every  $w$  open set  $V_{S_x}$  which includes set  $S_x$ , we have  $S_x \cap \overline{\text{co}}^w(U(X^*) \setminus V_{S_x}) = \emptyset$ .

**DEFINITION 1.3.** [4] Let  $X$  be a Banach space. A point  $x \in S(X)$  is said to be  $k$ -smooth point of  $X$  if the inequality  $\dim S_x \leq k$  holds for  $x \in S(X)$ , where  $\dim S_x$  denote the linear dimension of  $S_x$ .  $X$  is said to be  $k$ -smooth space if every point of  $S(X)$  is  $k$ -smooth point of  $X$ .

**DEFINITION 1.4.** [4, 9] Let  $X$  be a Banach space.  $X$  is said to be  $k$ -strongly (resp.  $k$ -very) smooth space if and only if  $X$  is  $k$ -smooth space and for any sequence  $\{f_n\} \subset S(X^*)$ ,  $x \in S(X)$  and  $f_n(x) \rightarrow 1$  imply that  $\{f_n\}$  is relatively compact (resp. relatively  $w$  compact).

Let us recall the concepts of denting point and property (G).

Let  $M$  be a subset of  $X$ . A point  $x \in M$  is said to be denting point of  $M$  if  $x \notin \overline{\text{co}}(M \setminus N(0, \epsilon))$  holds for any  $\epsilon > 0$ .  $M$  is said to be dentable set if for any  $\epsilon > 0$  there is a  $x_\epsilon \in M$  such that  $x_\epsilon \notin \overline{\text{co}}(M \setminus N(x_\epsilon, \epsilon))$ , where  $N(x_\epsilon, \epsilon) = \{x \in X : \|x - x_\epsilon\| < \epsilon\}$ . The concept of dentable set was first introduced by Rieffel in 1966 and the following important result has been given in [5]. That is,  $X$  has the Radon-Nikodym property whenever every bounded subset of  $X$  is dentable. This important result, later improved by Maynard [3] in 1973, is very simply. That is,  $X$  has the Radon-Nikodym property if and only if  $X$  is dentable.

The property (G) is given by Fan and Glicksberg [1] in 1955. Banach space  $X$  has the property (G) if and only if for all  $x \in S(X)$  and  $\epsilon > 0$ , we have  $x \notin \overline{co}(H(x, \epsilon))$ , where  $H(x, \epsilon) = \{y : y \in X, \|y - x\| \geq \epsilon\}$ . In 1993, the concept of strongly convex Banach spaces were introduced by Wu and Li, and the another important result connected to property (G) has been given in [7]. That is,  $X$  is strongly convex space if and only  $X$  has the property (G), where  $X$  is reflexive Banach space. Noticing that the connection with dentable set and property (G), the above important result can be motivated by the following restatement of property (G). That is,  $X$  is strongly convex space if and only if every point of  $S(X)$  is denting point of  $U(X)$ , where  $X$  is reflexive Banach space. Up to now, this result is only a result has being known about describing the straight relations between dentability and convexity.

The concept of  $w^*$  denting point of  $U(X^*)$  was given in [1]. A point  $x^* \in S(X^*)$  is said to be denting point of  $U(X^*)$  if  $x^* \notin \overline{co}^{w^*}(U(X^*) \setminus N(x^*, \epsilon))$  holds for each  $\epsilon > 0$ , where  $N(x^*, \epsilon) = \{y^* : y^* \in X^*, \|y^* - x^*\| < \epsilon\}$ . About the strongly smooth space which is the dual concept of strongly convex space, Shang, Cui and Fu [6] are greatly inspired to obtain the following important result :  $X$  is strongly smooth spaces if and only if the point of  $S(X^*)$  which attains its norm is the  $w^*$  denting point of  $U(X^*)$ . Up to now, this important result is only a result has being known about describing the straight relations between dentability and smoothness also.

In this paper, the concepts of  $k$ -smoothness,  $k$ -very smoothness and  $k$ -strongly smoothness of Banach spaces are dealt with together by introducing three types  $k$ -denting point regarding different topology of conjugate spaces of Banach spaces. In fact, by using the skill of Banach spaces theory, we show that  $X$  is  $k$ -smooth (resp.  $k$ -strongly smooth ) spaces if and only if each point of  $S(X^*)$  which attains its norm is the second ( resp. first ) type  $w^* - k$  denting point of  $U(X^*)$ ;  $X$  is  $k$ -very smooth spaces if and only if each point of  $S(X^*)$  which attains its norm is the  $w - k$  denting point of  $U(X^*)$ . Specially, as a simple consequence of these results, we obtain the main result of ref [6]. In fact, the first type weak\* - 1 denting point coincide with weak\* denting point. Also, the characterization of first type  $w^* - k$  denting point is described by using the slice of closed unit ball of conjugate spaces.

## 2. Main results

**THEOREM 2.1.**  *$X$  is  $k$ -very smooth spaces if and only if each point of  $S(X^*)$  which attains its norm is the  $w - k$  denting point of  $U(X^*)$ .*

*Proof.* Proof of necessity. Firstly, we will prove that if for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ ,  $\dim S_x \leq k$ , and  $\{x_n^*\}_{n=1}^\infty \subset S(X^*)$  satisfying  $x_n^*(x) \rightarrow 1 (n \rightarrow \infty)$ , then

$$\overline{\{x_n^*\}_{n=1}^\infty}^w \cap S_x \neq \emptyset.$$

In fact, by the  $k$ -very smoothness of  $X$ , we know that  $\dim S_x \leq k$  and there exists a subsequence  $\{x_{n_k}^*\}_{k=1}^\infty$  of  $\{x_n^*\}_{n=1}^\infty$  such that  $x_{n_k}^* \xrightarrow{w} y^* (k \rightarrow \infty)$ . It follows that  $x_{n_k}^*(x) \rightarrow y^*(x) = 1$ , hence  $\|y^*\| \geq 1$ .

On the other hand, noticing that  $U(X^*)$  is  $w^*$  closed set, we know that  $\|y^*\| \leq 1$ . Moreover, we have  $y^* \in S_x$ . This shows that

$$\overline{\{x_n^*\}_{n=1}^\infty}^w \cap S_x \neq \emptyset.$$

Secondly, we will prove that for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ , and for each  $w$  open set  $V_{S_x}$  which includes  $S_x$  there exists a scalar  $m > 0$  such that

$$x^*(x) \geq z^*(x) + m, \text{ if } z^* \in U(X^*) \setminus V_{S_x}.$$

If it is not true, then there exists  $z_n^* \in U(X^*) \setminus V_{S_x}$  such that  $z_n^*(x) \rightarrow x^*(x) = 1 (n \rightarrow \infty)$ , so we have

$$\overline{\{z_n^*\}_{n=1}^\infty}^w \cap S_x \neq \emptyset, \{z_n^*\}_{n=1}^\infty \cap V_{S_x} = \emptyset,$$

which is a contradiction.

Moreover, we have

$$\begin{aligned} x^*(x) - m &\geq \sup\{z^*(x) : z^* \in U(X^*) \setminus V_{S_x}\} \\ &= \sup\{z^*(x) : z^* \in co(U(X^*) \setminus V_{S_x})\} \\ &= \sup\{z^*(x) : z^* \in \overline{co}^w(U(X^*) \setminus V_{S_x})\}. \end{aligned}$$

This shows that  $x^* \notin \overline{co}^w(U(X^*) \setminus V_{S_x})$ , hence  $S_x \cap \overline{co}^w(U(X^*) \setminus V_{S_x}) = \emptyset$ . By Definition 2.1 we know that each point of  $S(X^*)$  which attains its norm is the  $w - k$  denting point of  $U(X^*)$ .

Proof of sufficiency.

Firstly, we will prove that  $X$  is  $k$ -smooth spaces.

For all  $x \in S(X)$ , by Hahn-Banach theorem, there exists  $x^* \in S(X^*)$  such that  $x^*(x) = 1$ , hence  $x^*$  is a point of  $S(X^*)$  which attains its norm. By the assumption of Theorem 2.1, we know that  $x^*$  is  $w - k$  denting point of  $U(X^*)$ . It follows that  $\dim S_x \leq k$ , this shows that  $X$  is  $k$ -smooth spaces.

Secondly, we will prove that if

$x \in S(X)$ ,  $\{x_n^*\}_{n=1}^\infty \subset S(X^*)$ ,  $x_n^*(x) \rightarrow 1 (n \rightarrow \infty)$ ,  
 then  $\{x_n^*\}_{n=1}^\infty$  is relatively  $w$  compact set and there exist

$$x^* \in S_x, \text{ net } \{x_\alpha^*\}_{\alpha \in \Delta} \subset \{x_n^*\}_{n=1}^\infty$$

such that  $x_\alpha^* \xrightarrow{w^*} x^*$  ( here, we may assume that  $x_n^* \neq x_m^*$  for all  $m \neq n$  ).

Because  $U(X^*)$  is  $w^*$  compact set, so there exists  $x^* \in U(X^*)$  such that  $x^*$  become  $w^*$  accumulation point of  $\{x_n^*\}_{n=1}^\infty$ .

Let

$$\Delta = \{R_{x^*} : R_{x^*} \text{ is } w^* \text{ neighborhood of point } x^*\}$$

and define a order by inclusive relation, i.e.,  $R_{x^*} \subset Q_{x^*}$  if and only if  $R_{x^*} \succ Q_{x^*}$ . Then

$$\{R_{x^*} \cap \{x_n^*\}_{n=1}^\infty : R_{x^*} \text{ is } w^* \text{ neighborhood of point } x^*\}$$

is a semi-ordered set. By Zermelo principle, there is a mapping  $f$  such that

$$f(R_{x^*} \cap \{x_n^*\}_{n=1}^\infty) \in R_{x^*} \cap \{x_n^*\}_{n=1}^\infty.$$

Put  $x_\alpha^* = f(R_{x^*} \cap \{x_n^*\}_{n=1}^\infty)$ , then  $\{x_\alpha^*\}_{\alpha \in \Delta} \subset \{x_n^*\}_{n=1}^\infty$  is a net. From  $x_n^*(x) \rightarrow 1 (n \rightarrow \infty)$  and the structure of this net, we know that  $x_\alpha^* \xrightarrow{w^*} x^*$  and  $x^* \in S_x$ .

It remains to prove that  $\{x_n^*\}_{n=1}^\infty$  is relatively  $w$  compact set.

Case 1° : If  $\{x_n^*\}_{n=1}^\infty \cap S_x = \emptyset$ , then  $\{x_n^*\}_{n=1}^\infty$  must be a relatively  $w$  compact set. If it is not true, then any point of  $S_x$  is not  $w$  accumulation point of  $\{x_n^*\}_{n=1}^\infty$ , i.e., for all  $x^* \in S_x$  there exists a  $w$  neighborhood  $V_{x^*}$  of point 0 such that  $x^* + V_{x^*}$  does not contain any point of  $\{x_n^*\}_{n=1}^\infty$ . We construct a  $w$  open set

$$V_{S_x} = \cup_{x^* \in S_x} \{y^* : y^* \in x^* + V_{x^*}\}.$$

Obviously,  $V_{S_x}$  includes  $S_x$  and  $\{x_n^*\}_{n=1}^\infty \cap V_{S_x} = \emptyset$ . Because  $U(X^*)$  is  $w^*$  compact set, so  $\overline{co}^{w^*}(U(X^*) \setminus V_{S_x})$  is  $w^*$  compact set also. Noticing that  $S_x$  is  $w^*$  closed set, by separating theorem, we know that there exists  $y \in X$  such that

$$y(S_x) > \sup y(\overline{co}^{w^*}(U(X^*) \setminus V_{S_x})).$$

Moreover, we choose a scalar  $r > 0$  such that

$$y(S_x) - y(\overline{co}^{w^*}(U(X^*) \setminus V_{S_x})) > r.$$

Obviously,

$$\{x_n^*\}_{n=1}^\infty \subset \overline{co}^{w^*}(U(X^*) \setminus V_{S_x}).$$

On the other hand, by we have proved above, we know that there exists net  $\{x_\alpha^*\}_{\alpha \in \Delta} \subset \{x_n^*\}_{n=1}^\infty$ , such that  $x_\alpha^* \xrightarrow{w^*} x^*$  and  $x^* \in S_x$ . This contradicts that

$$y(S_x) - y(\overline{c\bar{o}}^{w^*}(U(X^*) \setminus V_{S_x})) > r.$$

Hence, we obtain the desired result that  $\{x_n^*\}_{n=1}^\infty$  is a relatively  $w$  compact set.

Case 2° : If  $\{x_n^*\}_{n=1}^\infty \cap S_x \neq \emptyset$ , then by case 1° we know that  $\{x_n^*\}_{n=1}^\infty \setminus S_x$  is a relatively  $w$  compact set. Because  $S_x$  is a bounded closed set of finite dimensional spaces, so  $\{x_n^*\}_{n=1}^\infty \cap S_x$  is a relatively  $w$  compact set.

Noticing that

$$\{x_n^*\}_{n=1}^\infty = (\{x_n^*\}_{n=1}^\infty \cap S_x) \cup (\{x_n^*\}_{n=1}^\infty \setminus S_x),$$

we have

$$\overline{\{x_n^*\}_{n=1}^\infty}^w = \overline{\{x_n^*\}_{n=1}^\infty \cap S_x}^w \cup \overline{\{x_n^*\}_{n=1}^\infty \setminus S_x}^w.$$

Thus  $\{x_n^*\}_{n=1}^\infty$  is a relatively  $w$  compact set. □

**THEOREM 2.2.**  *$X$  is  $k$ -strongly smooth spaces if and only if each point of  $S(X^*)$  which attains its norm is the first type  $w^* - k$  denting point of  $U(X^*)$ .*

*Proof.* Proof of necessity. Firstly, we will prove that if for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ ,  $\dim S_x \leq k$ , and each norm open set  $V_{S_x}$  which includes  $S_x$  there exists a scalar  $r > 0$  such that the inequality  $\text{dist}(z^*, S_x) \geq r$  holds for  $z^* \notin V_{S_x}$ .

In fact, by the  $k$ -strongly smoothness of  $X$ , we know that  $\dim S_x \leq k$ . Because  $V_{S_x}$  is a norm open set which includes  $S_x$ , so there exists  $\delta' > 0$  such that  $U(x^*, \delta') \subset V_{S_x}$  holds for  $x^* \in S_x$  and such  $\delta'$  exists a minimum value  $\delta$ . Obviously,  $\bigcup_{x^* \in S_x} U(x^*, \delta) \subset V_{S_x}$ . Let  $r = \frac{\delta}{2}$ , then

we have  $\text{dist}(z^*, S_x) \geq r$ . Otherwise, there exists  $x^* \in S_x$  such that  $\|z^* - x^*\| < r < \delta$ , hence  $z^* \in \bigcup_{x^* \in S_x} U(x^*, \delta) \subset V_{S_x}$ . This contradicts

that  $z^* \notin V_{S_x}$ .

Secondly, we will prove that for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ , and for each norm open set  $V_{S_x}$  which includes  $S_x$  there exists a scalar  $m > 0$  such that

$$x^*(x) \geq z^*(x) + m, \text{ if } z^* \in U(X^*) \setminus V_{S_x}.$$

If it is not true, then there exists  $z_n^* \in U(X^*) \setminus V_{S_x}$  such that  $z_n^*(x) \rightarrow x^*(x) = 1 (n \rightarrow \infty)$ . By the  $k$ -strongly smoothness of  $X$ , we can deduce that  $\text{dist}(z_n^*, S_x) \rightarrow 0 (n \rightarrow \infty)$ . Otherwise, we may find a  $\epsilon_0 > 0$  such that for every  $n_0 > 0$ , there exists  $n_k > n_0$ ,  $k = 1, 2, \dots$ , satisfying

$\text{dist}(z_{n_k}^*, S_x) > \epsilon_0$ . On the other hand,  $z_n^*(x) \rightarrow 1$  implies that  $z_{n_k}^*(x) \rightarrow 1$ . Hence, by the  $k$ -strongly smoothness of  $X$  we know that  $\{z_{n_k}^*\}$  is a relatively compact set. It follows that there exists subsequence  $\{z_{n_{k_l}}^*\} \subset \{z_{n_k}^*\}$  such that  $z_{n_{k_l}}^* \rightarrow z_0^*$ . Hence  $z_{n_{k_l}}^*(x) \rightarrow z_0^*(x) = 1$  and  $z_0^* \in S_x$ . Which leads to that  $\text{dist}(z_{n_{k_l}}^*, S_x) \rightarrow 0$ . This contradicts that  $\text{dist}(z_{n_k}^*, S_x) > \epsilon_0$ .

Moreover, we have

$$\begin{aligned} x^*(x) - m &\geq \sup\{z^*(x) : z^* \in U(X^*) \setminus V_{S_x}\} \\ &= \sup\{z^*(x) : z^* \in \text{co}(U(X^*) \setminus V_{S_x})\} \\ &= \sup\{z^*(x) : z^* \in \overline{\text{co}}^{w^*}(U(X^*) \setminus V_{S_x})\}. \end{aligned}$$

This shows that  $x^* \notin \overline{\text{co}}^{w^*}(U(X^*) \setminus V_{S_x})$ , it follows that  $S_x \cap \overline{\text{co}}^{w^*}(U(X^*) \setminus V_{S_x}) = \emptyset$ . Hence, we obtain the desired result that each point of  $S(X^*)$  which attains its norm is the first type  $w^* - k$  denting point of  $U(X^*)$ .

Proof of sufficiency. Suppose that  $x \in S(X)$ ,  $\{x_n^*\}_{n=1}^\infty \subset S(X^*)$ ,  $x_n^*(x) \rightarrow 1 (n \rightarrow \infty)$ . Greatly similarly to the proof of Theorem 2.1, by using the given conditions in Theorem 2.2, we can prove that there exists a net  $x^* \in S_x \{x_n^*\}_{n=1}^\infty \subset \{x_\alpha^*\}_{\alpha \in \Delta}$  such that  $x_\alpha^* \xrightarrow{w^*} x^*$  and  $X$  is  $k$ -smooth spaces. Now we prove that  $\{x_n^*\}_{n=1}^\infty$  is a relatively compact set.

Case 1° : If  $\{x_n^*\}_{n=1}^\infty \cap S_x = \emptyset$ , then  $\{x_n^*\}_{n=1}^\infty$  must be a relatively compact set. If it is not true, then any point of  $S_x$  is not accumulation point of  $\{x_n^*\}_{n=1}^\infty$ . Hence, for all  $x^* \in S_x$  there is a  $\epsilon > 0$  such that the set  $\{y^* : \|y^* - x^*\| < \epsilon\}$  does not contain any point of  $\{x_n^*\}_{n=1}^\infty$ . We construct a norm open set

$$V_{S_x} = \cup_{x^* \in S_x} \{y^* : \|y^* - x^*\| < \epsilon\}.$$

Obviously,  $V_{S_x}$  includes  $S_x$  and  $\cup_{x^* \in S_x} \{y^* : \|y^* - x^*\| < \epsilon\} \cap \{x_n^*\}_{n=1}^\infty = \emptyset$ . Greatly similarly to the proof of Theorem 2.1, we can deduce that  $\{x_n^*\}_{n=1}^\infty$  is a relatively compact set.

Case 2° : If  $\{x_n^*\}_{n=1}^\infty \cap S_x \neq \emptyset$ , then by case 1° we know that  $\{x_n^*\}_{n=1}^\infty \setminus S_x$  is a relatively compact set. Because  $S_x$  is a bounded closed set of finite dimensional spaces, so  $\{x_n^*\}_{n=1}^\infty \cap S_x$  is a relatively compact set. Noticing that

$$\{x_n^*\}_{n=1}^\infty = (\{x_n^*\}_{n=1}^\infty \cap S_x) \cup (\{x_n^*\}_{n=1}^\infty \setminus S_x),$$

we have

$$\overline{\{x_n^*\}_{n=1}^\infty} = \overline{\{x_n^*\}_{n=1}^\infty \cap S_x} \cup \overline{\{x_n^*\}_{n=1}^\infty \setminus S_x},$$

Thus  $\{x_n^*\}_{n=1}^\infty$  is a relatively compact set. □

When  $k = 1$ , the first type  $w^* - 1$  denting point coincide with  $w^*$  denting point. It is well known that 1-strongly smooth space coincide

with usual strongly smooth spaces [8]. Hence we obtained the following corollary.

**COROLLARY 2.1.** [6]  *$X$  is strongly smooth spaces if and only if each point of  $S(X^*)$  which attains its norm is the  $w^*$  denting point of  $U(X^*)$ .*

*In what follows, using the slice of closed unit ball of conjugate spaces  $X^*$ , we will describe the characterization of first type  $w^* - k$  denting point.*

**THEOREM 2.3.**  *$x^* \in S(X^*)$  is first  $w^* - k$  denting point of  $U(X^*)$  if and only if there exists  $x \in S(X)$  such that  $x^* \in S_x$ ,  $\dim S_x \leq k$  and for  $\forall \epsilon > 0$ , there exists slice*

$$F(x, \delta) = \{z^* : z^* \in U(X^*), z^*(x) > 1 - \delta\}$$

*satisfying the inclusive relation*

$$F(x, \delta) \subset \{y^* : y^* \in U(X^*), d(y^*, S_x) < \epsilon\}.$$

*Proof.* Proof of necessity. Suppose that  $x^* \in S(X^*)$  is first  $w^* - k$  denting point of  $U(X^*)$ , then there exists  $x \in S(X)$  such that  $x^* \in S_x$ ,  $\dim S_x \leq k$ . Let

$$H_{S_x} = \{y^* : y^* \in U(X^*), d(y^*, S_x) < \epsilon\},$$

then  $H_{S_x}$  is norm open set which includes  $S_x$ , hence  $S_x \cap \overline{co}^{w^*}(U(X^*) \setminus H_{S_x}) = \emptyset$ . Moreover, we can deduce that

$$\sup x(\overline{co}^{w^*}(U(X^*) \setminus H_{S_x})) < 1.$$

Otherwise, there exists sequence  $y_n^* \in \overline{co}^{w^*}(U(X^*)) \setminus H_{S_x}$  such that  $y_n^*(x) \rightarrow 1$  ( $n \rightarrow \infty$ ). Let  $x_n^* = \frac{y_n^*}{\|y_n^*\|}$ , then  $x_n^*(x) \rightarrow 1$  ( $n \rightarrow \infty$ ). From the proof of Theorem 2.2, we know that  $x$  is  $k$ -smooth point of  $X$  and  $\{x_n^*\}_{n=1}^\infty$  is relatively compact set. Therefore, sequence  $\{x_n^*\}_{n=1}^\infty$  has the convergent subsequence, without loss of generality, let the convergent subsequence be  $\{x_n^*\}_{n=1}^\infty$  itself and suppose that  $x_n^* \rightarrow x_0^*$  ( $n \rightarrow \infty$ ). Clearly,

$$x_n^*(x) \rightarrow 1 = x_0^*(x) \quad (n \rightarrow \infty), \quad x_0^* \in S_x.$$

On the other hand,

$$\|y_n^* - x_0^*\| \leq \left\| \frac{y_n^*}{\|y_n^*\|} - y_n^* \right\| + \left\| \frac{y_n^*}{\|y_n^*\|} - x_0^* \right\| \rightarrow 0 \quad (n \rightarrow \infty),$$

it follows that  $x_0^*$  belong to the norm closure of set  $\overline{co}^{w^*}(U(X^*) \setminus H_{S_x})$ .

Noticing that this set is closed set regarding norm topology, we know that  $x_0^* \in \overline{co}^{w^*}(U(X^*) \setminus H_{S_x})$ , hence  $x_0^* \notin H_{S_x}$ . It is impossible.

Let  $1 - \delta = \sup x(\overline{co}^{w^*}(U(X^*) \setminus H_{S_x}))$ . It is easy to see that if

$$z^* \in F(x, \delta) = \{z^* : z^* \in U(X^*), z^*(x) > 1 - \delta\},$$

then  $z^* \notin \overline{co}^{w^*}(U(X^*) \setminus H_{S_x})$ . Hence  $z^* \in H_{S_x}$ , this shows that  $F(x, \delta) \subset H_{S_x}$ .

Proof of sufficiency. Suppose that there exists  $x \in S(X)$  such that  $x^* \in S_x$ ,  $\dim S_x \leq k$  and for  $\forall \epsilon > 0$ , there exists slice

$$F(x, \delta) = \{z^* : z^* \in U(X^*), z^*(x) > 1 - \delta\}$$

satisfying the inclusive relation

$$F(x, \delta) \subset \{y^* : y^* \in U(X^*), d(y^*, S_x) < \epsilon\}.$$

For the convenient, we denote  $\{y^* : y^* \in U(X^*), d(y^*, S_x) < \epsilon\}$  by  $H_{S_x}$ , then

$$1 - \delta \geq \sup\{z^*(x) : z^* \in co(U(X^*) \setminus H_{S_x})\} = \sup\{z^*(x) : z^* \in \overline{co}^{w^*}(U(X^*) \setminus H_{S_x})\}.$$

Moreover, we can deduce that  $S_x \cap \overline{co}^{w^*}(U(X^*) \setminus H_{S_x}) = \emptyset$  from the structure of  $S_x$ . Hence  $x^* \in S(X^*)$  is first  $w^* - k$  denting point of  $U(X^*)$ .  $\square$

**THEOREM 2.4.**  *$X$  is  $k$ -smooth spaces if and only if each point of  $S(X^*)$  which attains its norm is the second type  $w^* - k$  denting point of  $U(X^*)$ .*

*Proof.* The sufficiency is immediate from the definition of  $k$ -smooth spaces. It remains to prove the necessity.

Firstly, we will prove that for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ , and  $\{x_n^*\}_{n=1}^\infty \subset S(X^*)$  satisfying  $x_n^*(x) \rightarrow 1 (n \rightarrow \infty)$ , then  $\overline{\{x_n^*\}_{n=1}^\infty}^{w^*} \cap S_x \neq \emptyset$ .

If it is not true, then there exists  $w^*$  neighborhood  $V_{S_x}$  which includes  $S_x$  such that  $\overline{\{x_n^*\}_{n=1}^\infty}^{w^*} \cap S_x = \emptyset$ . From the proof of sufficient of Theorem 2.2, we know that there exists net  $\{x_\alpha^*\}_{\alpha \in \Delta} \subset \{x_n^*\}_{n=1}^\infty$  satisfying  $x_\alpha^* \xrightarrow{w^*} x^*$ ,  $x^* \in S_x$ . Hence  $\overline{\{x_n^*\}_{n=1}^\infty}^{w^*} \cap S_x \neq \emptyset$ . This contradicts that  $\overline{\{x_n^*\}_{n=1}^\infty}^{w^*} \cap S_x = \emptyset$ .

Secondly, we will prove that if for all  $x^* \in S(X^*)$ , there exists  $x \in S(X)$  such that  $x^*(x) = 1$ , and each  $w^*$  open set  $V_{S_x}$  which includes  $S_x$  there exists a scalar  $m > 0$  such that  $x^*(x) \geq z^*(x) + m$  holds for  $z^* \in U(X^*) \setminus V_{S_x}$ .

If it is not true, then there exists  $z_n^* \in U(X^*) \setminus V_{S_x}$  such that  $z_n^*(x) \rightarrow x^*(x) = 1 (n \rightarrow \infty)$ . Hence we have  $\overline{\{z_n^*\}_{n=1}^\infty}^{w^*} \cap S_x \neq \emptyset$ . On the other hand, for  $z_n^* \in U(X^*) \setminus V_{S_x}$ , we have  $\{z_n^*\}_{n=1}^\infty \cap V_{S_x} = \emptyset$ . This contradicts that  $\overline{\{z_n^*\}_{n=1}^\infty}^{w^*} \cap S_x \neq \emptyset$ .

Moreover, we have

$$x^*(x) - m \geq \sup\{z^*(x) : z^* \in U(X^*) \setminus V_{S_x}\} = \sup\{z^*(x) : z^* \in co(U(X^*) \setminus V_{S_x})\} = \sup\{z^*(x) : z^* \in \overline{co}^{w^*}(U(X^*) \setminus V_{S_x})\}.$$

This shows that  $x^* \notin \overline{co}^{w^*}(U(X^*) \setminus V_{S_x})$ , it follows that  $S_x \cap \overline{co}^{w^*}(U(X^*) \setminus V_{S_x}) = \emptyset$ . By the definition of  $k$ -smooth spaces, we know that  $\dim S_x \leq k$ . Hence, we obtain the desired result that each point of  $S(X^*)$  which attains its norm is the second type  $w^* - k$  denting point of  $U(X^*)$ .  $\square$

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